

Summary of Anti-Bribery and Anti-Corruption System of CRRC Corporation Limited

I. General Principles and Requirements

CRRC C D a L□



.

a d e D .

The e D c c e a d f h e C D a a d b d a e a
a e e a d g a d e . The a a g e e f D e e g a d c g
c e c a b b e f h e D c D e f f e d e a d e h D,
h e a c h c a e D b , g h g a a , a d c D e h e e
g e a c e.

II. Policy Focus and Requirements

(I) Appointment and Removal of Personnel

The C D a h a a d e c e a e e e f e a e ' e c a a d
e c a , a d e g h e e a D e a d c e a g e a c e.

A e f a h D f f e c e f D e a g a

D e e a a g e e f f a e b e c e e e a D e

c D h b e d . E D e e a e b e c g e e a d
a e e h e D a d e a e e D c e e e e a

~~RESUME~~ e a d D e e a f f e D a d e e

a

(II) Business Activities of the Company

A f h e f g b e h a e D h b e d a f h e
C D a e e a b e a c e :

1. Y a g e g a b g g e e g c a h e h e
f f g f h e h e D a e a e d D e e ;

2.

he f a c a be ef b g g^D De he a e fd a ;

3. Offe g c e c a^D h^D a d he ac e
ha a e he^D c^D e f fa c^{De} ;

4. P d g a e be h^D ca d , c^D ca d
(c^D), h^{DD} g ca d (c^D) a d he eg ab e ec e ;

5. P d g g e cha h e a d ca ;

6. Offe g c d de d ;

7. G g ece g^D De he be ef h gh ga b g,
a e a de he g e f^D a, ^D b c , ad e g, a g,
c a c , ad , ech ca e ce, ce f c e ea ch a d c ca
fee , e c ;

8. O he a f a a d eg a .

III. Regulatory Mechanism

(I) Organizational Guarantee

The C^{Da} eg a c d c a -c^D a e e
de f a d a e^D b e c^D he C^{Da} ' b e
ac e . The C^{Da} a e a^{DD} D a e eg a a d^{De}

ea e ga e he e . The e ea e a c de b a e

ed :

1. C d c d e d ge ce e b e^{Da} e a d a e ;

2. V^D e e add a e e a d^{De} f h gh-
b e ac e ;

3. Reg a e e a d Dda e he C Da ' e a c a d
c D a ce D ced e ;

(II) Integrity Culture Education

The C Da ha f a ed a c D he e c D a ce a g
D ga , c e g a / a -c D e a ed a / a d eg a ,
c Da / D ce a d D ced e , e e ha e D ee a e e
f ed a d a a e f he a e c D a ce e e e . Ne
e D ee ece e a -c D a d c D a ce ed ca d g he
d c a g.

(III) Employees Behavior Management

The C Da a e a DD D a e ea e aga e D ee
h a e he a -c D a d a -b be D c acc d g he
e e a d Dac f he a . Mea e a c de e ba
e a g , De , de , d a , De f
b e ea h D .

(IV) Impeach and Accuse

The C Da D ec D ec . The C Da e D e
D hb a f f e a a a d a e e e e d c D a ac
aga e a a aga d d a h e D c ce . I e D e
ea ab e c ce a ed b he D ec , he C Da c d c
e e ga a d , he e D b e , D de feedbac he
D ec he f d g .

IV. Record and Document Management

The C^Da e e e h e c^D e e e , acc ac , a d e e e f
a e e a ec d a d d c e e a e d b e a ac a d
ac e . Rec d a e eg a e e e d e e c^D a ce h
e a c e e e a d e e a eg a a d a d .

The C^Da e ab h e a d a a a d c e a age e
e f g a^D a ec d a d d c e . The d c e
ha be^D acc d g h e e De d^D a e d b e e a a
a d eg a a d h e C^Da^D c e . Whe e ce a , h e C^Da
ab e c e e e a d^D de e e a d c e DD
e a a d , eg a^Dec , a d e ga ga .

V. Policy Updates and Communication

The C^Da eg a e e a -c^D D c , a ea
ce a ea , e e c e h h e a e a , eg a a d
be^D ac ce . The C^Da c de h e f d g a d
ec e da f e a a d , c^D a ce a e e a d e e a
eg a cha ge d g h e e^D ce . E^D ee a d e e e d
D a e a e e c aged^D de feedbac h e^D de f^D e a
h c g a d a ea f^D e e^D ce a d^D ced e .